Export Controls
What You Need to Know Before Traveling Abroad

Stanford research policy requires all international shipments and hand-carried Stanford-owned or loaned property taken abroad to be documented for export controls. This includes laptops and smartphones. Stanford faculty must follow the steps below and be aware of export control regulations before traveling internationally. Temporary international travel and export control regulations are discussed at: http://doresearch.stanford.edu/research-scholarship/export-controls/temporary-exports.

STEP 1: COMPLETE ANNUAL TMP CERTIFICATION
https://www.stanford.edu/group/export/forms/TMP-annual.html

a. Determine if you qualify for the TMP Licensing Exception by completing the Temporary Export Property Checklist at: http://doresearch.stanford.edu/research-scholarship/export-controls/temporary-exports#temporary-export-property-checklist
   - If you determine you do not qualify, proceed to Step 2. If you do qualify:

b. Determine if your travel will allow you to meet the terms and conditions set forth on the Annual TMP Certification for items 1 through 5; by signing the form you become personally accountable for complying with those terms and conditions.
   - Once Steps 1a. and 1b. are met, complete and submit the Annual TMP Certification.
   - If all requirements are not met, proceed to Step 2.

STEP 2: CONSULT THE EXPORT CONTROLS DECISION TREE
http://doresearch.stanford.edu/research-scholarship/export-controls/export-controls-decision-tree

If your travel will not allow you to meet the Annual TMP Certification's terms and conditions, consult the Stanford Export Controls Decision Tree to determine if your travel qualifies for "No License Required" (NLR) status.

ALWAYS SAFEGUARD YOUR DATA
- When traveling internationally, the safeguards provided by Stanford’s computing environment are not available.
- Examples of data that should be left on campus or afforded exceptional protection include information that might be construed as sensitive by the host government, and any non-public data listed in the table on the Stanford Data Classification Guidelines page: http://www.stanford.edu/group/security/securecomputing/dataclass_chart.html

COUNTRIES REQUIRING PRIOR APPROVAL
- Any plans to travel on behalf of Stanford to the following countries – Cuba, Iran, North Korea, North Sudan, and Syria – must be reviewed and approved by University Export Control Officer Steve Eisner in advance of travel.
  - Upon pre-approval for travel to these countries, the Export Control Officer will issue an email to the traveller prior to departure.
  - The traveller must submit the dated pre-departure approval email as a required document for travel expense reimbursement. Stanford will not reimburse travellers to these countries without the Export Control Officer’s written pre-approval.

FOR MORE INFORMATION
- DoResearch: http://doresearch.stanford.edu/policies/research-policy-handbook/export-controls
- Additional Questions: Contact Steve Eisner, University Export Control Officer at steve.eisner@stanford.edu or 650-724-7072.
## Export Controls FAQs
### What You Need to Know Before Traveling Abroad

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b. If all requirements are met, complete and submit the Certification.  
c. If the requirements are not met, consult Stanford’s Export Controls Decision Tree: [http://export.stanford.edu/tree/index.html](http://export.stanford.edu/tree/index.html). |
| 2. …I have to ship or hand-carry Stanford or 3rd party equipment, components, materials, software on media, or other items to countries outside of the U.S.? | a. Review the Stanford Export Controls Decision Tree at [http://export.stanford.edu/tree/index.html](http://export.stanford.edu/tree/index.html) to determine if your item is on an export controls list.  
b. Contact Steve Eisner, Stanford’s Export Control Officer at steve.eisner@stanford.edu or 650-724-7072 if your item is on a list or for assistance.  
c. Screen your recipient and your recipient’s organization (when applicable) against U.S. export control Restricted Party Lists: [http://export.stanford.edu/rps](http://export.stanford.edu/rps)  
b. If the Export Control Officer approves your receipt of Export Controlled Information (ECI), you will need to document your agreement to protect the ECI for export control purposes by submitting Stanford’s Certification on the Handling and Use of Export Controlled Information: [https://www.stanford.edu/group/export/forms/nda.html](https://www.stanford.edu/group/export/forms/nda.html)  
| 5. …I have to travel to Cuba, Iran, Syria, North Sudan or North Korea on Stanford University business? | a. Contact Stanford’s Export Control Officer Steve Eisner at steve.eisner@stanford.edu or 650-724-7072 and he’ll help determine if you can travel to these countries without an export license. Cuba, Iran, Syria, North Sudan and North Korea are subject to U.S. trade sanctions. Travel to and transactions with these countries are tightly regulated. |
b. A PI/faculty supervisor (research positions) or Senior HRM (all other positions) will need to complete ORA-1130 as a one-time requirement.  
c. PI/faculty supervisor or Senior HRM will also need to submit the required Deemed Export Questionnaire found within Bechtel’s H1-B Workflow. |